PTO/SB/21(08/00)

Approved for use through 19/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Peduction Act of 1995, go persons are required to respond to a collection of information unless it displays a valid OMB control number. TRADEMARY **Application Number** 09/853,770 May 11, 2001 Filing Date TRANSMITTAL FORM Satoshi Shigematsu First Named Inventor (to be used for all correspondence after initial filing) **Group Art Unit Examiner Name** Total Number of Pages in This Submission 11 Attorney Docket Number 96790P355 **ENCLOSURES** (check all that apply) After Allowance Communication to Group Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Amendment / Response Appeal Communication to Group Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter **Extension of Time Request** Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below): **Express Abandonment Request** Terminal Disclaimer Information Disclosure Statement Priority Document Submittal; return postcard Certified Copy of Priority Document(s) Request for Refund Response to Missing Parts/ Incomplete Application CD, Number of CD(s) Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53

	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or	Eric S. Hyman, Reg. No. 30,139	
Individual name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN	
Signature	Entry	
Date	7/23/01	

CERTIFICATE OF MAILING								
	rrespondence is being deposit ommissioner for Patents, Was			as first class mail in an env	relope			
Typed or printed name	Melissa Stead							
Signature	Melissa	Stead	Date	7-23-01				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## EE TRANSMITTAL for FY 2001

Under the Paperwork Reduction Act of 1995, no persons are required to

Petent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

BURY & IRA

(\$)

capable to a confection of information areas it displays a valid Cirio control harribe						
Complete if Known						
Application Number	09/853,770					
Filing Date	05/11/01					
First Named Inventor	Satoshi Shigematsu, et al.					
Examiner Name						
Group Art Unit						
Attorney Docket Number	96790P355					

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	e 3. ADDITIONAL FEE					
indicated fees and credit any over payments to:	Large	Entity :	Small	Entity		
Deposit 00 000	Fee	Fee		Fee	Fee Description	Fee Paid
Account Number 02-2666	Code		Code			
Deposit	105		205		Surcharge - late filling fee or oath	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	127	50	227	25	Surcharge - late provisional fiting fee or cover sheet.	
	139	130	139	130	Non-English specification	<del></del>
Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17					For filing a request for ex parte reexamination	
Applicant claims small entity status. See 37 CFR 1.27	112		112		Requesting publication of SIR prior to	
					Examiner action	
2. Payment Enclosed:  Money Down	113	1,840	113	1,840	Requesting publication of SIR after	
Check Order Other					Examiner action	
FEE CALCULATION	115	110	215	55	Extension for response within first month	<u> </u>
TEL GALGGEATION	116		216		Extension for response within second month	
1. FILING FEE	117		217		Extension for response within third month	$\vdash$
Large Entity Small Entity		1,390			Extension for response within fourth month	-
Fee Fee Fee Fee Description Fee Paid		1,890			Extension for response within fifth month	
Code (\$) Code (\$)	119		219		Notice of Appeal	$\vdash$
101 710 201 355 Utility filing fee	120		220		Filing a brief in support of an appeal	
106 320 206 160 Design filing fee	121	270			Request for oral hearing	$\vdash$
107 490 207 245 Plant filing fee	138	1,510			Petition to institute a public use proceeding	-
108 710 208 355 Reissue filing fee	140		240		Petition to revive - unavoidably	$\vdash$
114 150 214 75 Provisional filing fee		1,240			Petition to revive - unintentionally	<del>  </del>
SUBTOTAL (1) (\$)		1,240			Utility issue fee (or reissue)	$\vdash$
SUBTOTAL (1) (\$)	143		243 244		Design issue fee Plant issue fee	
2. CLAIMS Fee from	144 122		122		Petitions to the Commissioner	
Extra below Fee Paid	123		123		Petitions related to provisional applications	$\vdash \vdash \vdash$
Independent - 3	126		126		Submission of Information Disclosure Stmt	
	581		581		Recording each patent assignment per	
Multiple Dependent Claims =	361	40	301	40	property (times number of properties)	
Large Entity Small Entity	146	710	246	355	Filing a submission after final rejection	
Fee Fee Fee Fee Description					(37 CFR 1.129(a))	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	149	710	249	355	For each additional invention to be	
102 80 202 40 Independent claims in excess of 3					examined (37 CFR 1.129(b))	
104 270 204 135 Multiple Dependent claim	179	710			Request for Continued Examination (RCE)	
109 80 209 40 Reissue independent claims	169	900	169	900	Request for expedited examination	]
over original patent					of a design application	
110 18 210 9 Reissue daims in excess of 20	Other	fee (s	pecify	"——		
and over original patent						
SUBTOTAL (2) (\$)	l . <u>.</u> .				SUBTOTAL (3) (\$)	
"or number previously paid, if greater, For Ressues, see above	Reduce	d by Basi	c Hilling	Fee Paid	(-)	
SUBMITTED BY Complete (if applicable)						

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Eric S. Hyman, Reg. No. 30,139	_		Reg. Number	
Signature	EAR	Date	7/23/01	Deposit Account User ID	02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.